

Report to the Economic and Social Council on Accessibility to Legal Aid in Criminal Justice Systems, particularly in Africa

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Executive Summary

- 1 The Commission on Crime Prevention and Criminal Justice (CCPCJ) held a wide-
- 2 ranging and substantive discussion regarding international cooperation to improve access
- 3 to legal aid in criminal justice systems, particularly in Africa. The CCPCJ quickly came
- 4 to a consensus regarding the importance of improving access to legal aid, and many
- 5 members of the Commission expressed the view that improving legal aid was essential to
- 6 protecting the fundamental right to a fair trial. As such, the bulk of the CCPCJ's
- 7 deliberations focused on how to create and implement effective international solutions to
- 8 the challenge of lack of access to legal aid. The CCPCJ took the view that it was vital to
- 9 address this international issue in both the short term and long term. In the short term, the
- 10 Commission took the view that the international community should attempt to try and
- bridge the capacity gap in the developing world regarding access to legal aid, and
- discussed a number of proposals, including greater reliance on community paralegals,
- 13 alternative dispute resolution and a stronger pro bono culture. In the long term, the
- 14 Commission agreed that the answer to the challenge of lack of access to legal aid was to
- build a culture of respect for the rule of law, and discussed a number of proposals,
- 16 including greater judicial transparency and education, to promote this. An informal
- 17 subgroup formed within the body to address education regarding access to legal aid, and
- 18 within this subgroup, a general consensus was reached that efforts to improve criminal
- 19 justice systems throughout the world, but particularly in Africa, were incomplete without
- an emphasis on educational strategies that informed the public of their right to legal aid.

Chapter I:

Matters calling for action by the United Nations Economic and Social Council

Draft resolutions for adoption by the Economic and Social Council

- 21 The Commission on Crime Prevention and Criminal Justice recommends to the
- 22 Economic and Social Council the adoption of the following draft resolutions:

Draft resolution II/2

The Economic and Social Council,

Recognizing the principle of shared responsibility which encourages cooperation among
States concerning development in criminal justice systems particularly in Africa,

Reminding Member States of the International Covenant on Civil and Political Rights, specifically Article 14 Paragraph 3 Section B and D,

Noting the widespread lack of knowledge among the African public regarding their right to legal aid as expressed in Article 12 of the Universal Declaration of Human Rights (UDHR) and the disproportionately harsh penalties and unjust convictions regarding cases in which criminal defendants represent themselves,

Stressing the relevance of the 2007 Resolution 24 which includes the call on States to restructure State legal systems in a manner more accessible to their citizens,

Keeping in mind the vulnerability of certain populations including but not limited to children, women, minorities, disabled, elderly, HIV and AIDS positive people groups,

Recognizing that the development of criminal justice systems in African States should begin at the expressed commitments of African leaders to their peoples,

Observing the Lilongwe Declaration which states that legal aid should be defined as broadly as possible to include education,

- 1. *Stresses* the importance of access to legal aid as a supportive measure in the creation of the upcoming Millennium Development Goals;
- 2. *Urges* all Member States to partner with bilateral and multilateral agencies to produce national development plans addressing injustices of State criminal justice systems in a manner that is comprehensive and is conducive to public debate and dialogue;
 - 3. Endorses the importance of Best Practice Summits in strengthening criminal

justice systems through the sharing of information, emphasizing access to legal aid;

4. *Stresses* the need for international support in providing funding for strategic educational programs that inform citizens concerning their right to legal aid;

5. Encourages States, who have not already done so, to consider adopting provisions on the right to legal aid constitutionally or legislatively with the desired effect being increased awareness of civil society on their right to legal aid;

6. *Calls upon* the international community to support State efforts in the formation of independent governmental structures with the purposes of facilitating citizen access to legal aid;

7. Advocates that States afford vulnerable populations educational awareness regarding equal representation and access to legal aid.

Chapter II:

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Consideration of Accessibility to Legal Aid in Criminal Justice Systems, particularly in Africa

72 At its 2013 session, the Commission considered agenda item 2, Accessibility to Legal Aid 73 in Criminal Justice Systems, particularly in Africa. 74 75 For its consideration of this item, the Commission had before it the following documents: (a) The United Nations Universal Declaration of Human Rights; 76 77 78 (b) The United Nations Declaration on Civil and Political Rights; 79 80 (c) The African Union Principles and Guidelines on the Right to a Fair Trial and 81 Legal Assistance in Africa; 82 83 (d) The Lilongwe Declaration on Accessing Legal Aid in the Criminal Justice 84 System in Africa; 85 (e) The Tokyo Rules, i.e. the United Nations Standard Minimum Rules for Non-86 87 Custodial Measures; 88 89 (f) The March 2013 Report on the United Nations Principles and Guidelines on 90 Access to Legal Aid in Criminal Justice Systems adopted by the General 91 Assembly in December 2012; 92 93 (g) The United Nations Principles and Guidelines on Access to Legal Aid in 94 Criminal Justice Systems adopted by the United Nations General Assembly in 95 December 2012; 96 97 (h) The United Nations African Institute for the Prevention of Crime and the 98 Treatment of Offenders adopted by the General Assembly in March 2012: 99 100 (i) The 2011 United Nations Organization of Drugs and Crime Access to Legal 101 Aid in Criminal Justice Systems in Africa Survey Report; 102 103 (j) The 2011 United Nations Organization of Drugs and Crime Handbook on 104 Improving Access to Legal Aid in Africa; 105 106 (k) The United Nations Economic and Social Council Resolution 2007/24 which 107 addresses International Cooperation for the Improvement of Access to Legal Aid in Criminal Justice Systems, particularly in Africa; 108 109 110 (1) The United Nations Economic and Social Council Resolution 2006/21 on Implementation of the Programme of Action, 2006-2010, on strengthening the 111 112 rule of law and the criminal justice systems in Africa;

(m) The African Commission on Human and Peoples Rights Resolution 41 on the Right to Fair Trial and Legal Aid in Africa in November 1999.

A. Deliberations

- In general, representatives shared the same concerns. Representatives were especially
- concerned with the fact that many affected by the criminal justice system are
- economically disadvantaged and lack the financial resources to protect their rights. In
- 119 numerous Member States, an issue directly associated with access to legal aid that should
- be addressed is the length of time suspects are held at police stations and detention
- centers, as well as congestion within prisons and courts. Addressing these concerns will
- lead to greater fulfillment of Article 10 of the Universal Declaration of Human Rights,
- wherein "everyone is entitled to full equality in a fair and public hearing by an
- independent and impartial tribunal in the determination of his rights and obligations and
- of any criminal charge against himself."

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Another concern that was expressed across the board was that of implementing solutions

pragmatically. The Commission on Crime Prevention and Criminal Justice (CCPCJ)

129 recommends that Member States consult with the United Nations Organization on Drugs

and Crime (UNODC) for greater technical assistance on the matter.

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- 132 Concern was expressed throughout the body that there exists in some Member States a
- deficiency in the education of the public, law enforcement officials and law practitioners.
- Specific concerns were raised about the connection of the public to the functions,
- procedures and processes of both the legislative and judicial bodies pertaining to them.
- 136 These were noted as serious problems that merited closer consideration by the committee.
- 137 Many Member States, in periods of rules suspension, deliberated on specific modes
- through which the aforementioned parties could be best educated. Those involved in
- these deliberations were of the mind that any steps taken to combat lack of access to legal
- aid must involve comprehensive education of the community as a whole, or anyone that
- may become involved in the legal process. The representatives discussed the importance
- of education as a long-term means to work toward a future where legal aid is readily
- available to all. The educational methodology discussed was primarily considered as a
- means to empower individuals, making them more aware of their rights and further
- 145 connecting them to legislative and judicial processes. Empowered individuals would be
- better situated to promote transparency in governmental institutions. Many of the specific
- modes of empowerment through education proposed are outlined and discussed in draft
- resolution II/2. Unfortunately, time constraints prevented this draft resolution from being
- brought to the floor for debate and subsequent voting; however, both authors and many
- 150 days to the first of decide and subsequent voting, nowever, both dutinois and many
- other representatives felt strongly that the relevancy of the issues and solutions brought
- 151 forth merited specific inclusion in this report.

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- 153 A number of speakers drew attention to possibilities with regard to new national
- initiatives in order to diversify legal aid, expand upon services offered by existing legal
- professionals and educate the general public.

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- 157 One common problem that causes congestion in the courts is the diminished priority
- given to pro bono cases compared to cases in which individuals can afford legal

representation and consultation. As a result, often multiple parties of lawyers get involved at different stages in cases in the judicial process, resulting in a drawn-out process that is more convoluted. A way of eliminating the complexities associated with this issue would be to ensure that a single party of lawyers follows a case throughout the judicial process. However, governments would need to adequately incentivize lawyers to adhere to this protocol, which mandates greater funding and resources, and hence, may not be feasibly pragmatic. The UNODC may perhaps provide technical assistance to help member states implement this pragmatically to a greater capacity.

One such example that would be useful in societies without enforcement of the law through courts would be non-custodial measures, or alternative dispute resolution (ADR). In such situations, actors are generally within a community outside of urban centers and typically do not have sufficient resources to handle the situation through measures typical to developed nations and/or forms of society, as in Africa. ADR recognizes such resource deficiencies and constraints. Moreover, ADR involves mediation and arbitration within the community and district within which parties originate from and where conflict occurs. In certain societies, increasing the supply of lawyers is not feasible primarily due to budgetary constraints. An issue with ADR, however, may be the conflicting interests of parties; ADR works well only in very cohesive communities.

Another solution that has been offered is that of the formation of a committee, or a subset of government specifically involved with the free provision of legal aid, given that parties can prove insufficient financial resources; this solution was based on domestic policies such as those of Brazil and South Africa that have been utilized to a greater effect to address the situation. It is important to note that such an office may not be feasible on such a large scale and may not effectively target parties who are not in the lower income bracket, i.e. at the margin. A measure of determining who requires access to legal aid is a means test, wherein studies are conducted and assessments made in order to better channel limited resources to the parties that need legal aid most, namely vulnerable groups.

Another proposed solution is that of community-based paralegals. Often, paralegals and legal assistants possess adequate training to provide accurate legal consultation to those who require it, although they may not have passed the bar exam, or are not eloquent enough to become lawyers, despite relevant qualifications. Allowing paralegals to provide legal consultation would diversify legal aid consultation providers. Moreover, this would ensure that there are community-based paralegals and assistants that can tailor their legal consultation efforts to the social, economic, and cultural conditions of countries, a necessary condition when we examine the diversity in litigation in different nations and regions.

Education of lawyers in Africa is vital. International, online and regional scholarship would increase the efficacy of a solution to deal with access to legal aid, as it would allow for greater supply of lawyers in Africa. A possible method of ensuring this is incentivizing African law students to return and practice law within their home countries for several years. A number of States in the developing world, particularly the African States, expressed concerns with such a policy, because concerns were raised that it would

impose Western values and further expressed concerns that such a policy would fail to build a culture of respect for the rule of law.

Education of both law enforcement officers and the general public is essential, as they are both parties in question with regard to the issue. Law enforcement officers are in a capacity to make citizens aware of their rights with regard to an impartial and fair tribunal upon citizen's arrest. The general public, on the other hand, can be educated through the creation and continuation of human rights and legal literacy programs, making them aware of their rights. Special attention would need to be given to vulnerable groups such as women, the handicapped and the elderly, as they are more likely to be exploited by the legal system, especially when unable to access legal aid.

In the same vein, Non-Governmental Organizations (NGOs) and legal outreach centers are an essential part of the solution. Specific organizations and NGOs sponsored by the Economic and Social Council can be created at the regional and international level to lead workshops, in order to improve the awareness of these fundamental rights. A more pragmatic approach, however, would be to work through existing NGOs such as Amnesty International, as they already have established networks specifically dealing with issues of human rights and awareness. In terms of legal outreach centers, the number can be increased in order to engage with the public and raise awareness to a greater capacity pertaining to proper legal procedures during the course of their various interactions with law enforcement personnel and detention center processing.

Education is imperative as a long-term solution to the issue. However, there are other more effective solutions formulated in a shorter, implementable time-frame than the solution of education. Hence, deliberation as to how education, along with other proposed solutions, can be implemented concurrently is a question that needs to be discussed further, so that we are adequately addressing both short and long term solutions to this challenge.

The Commission viewed a regional approach to the issue of legal aid as vital due to the varying cultural and socioeconomic dynamics within the different countries and regions in question. Some States expressed concerns as to how inclusive the definition of a region should be. Thus, various levels of implementation are possible: nationwide, statewide, etc. The Commission did not come to consensus in this regard and urges the Economic and Social Council to debate this in its implementation to determine which level of approach is most effective.

B. Action taken by the Commission

- 243 The Commission chose to create a subcommittee to address the issue of accessibility to
- legal aid in criminal justice systems with more specificity, so that nations with a greater
- stake in the issue were able to contribute their opinions. The subcommittee was
- composed of Cameroon, Algeria, Mauritius, Sierra Leone, Democratic Republic of the
- 247 Congo, Kenya, Italy, Saudi Arabia, Ghana, Brazil, Uganda, Uruguay and Norway.

After its meetings from November 23rd to November 25th, the Commission approved for recommendation for adoption by the Economic and Social Council revised draft

251 resolution II/1 sponsored by Brazil, China, Thailand, Namibia, United Kingdom, 252 Bahamas, Uganda, Switzerland and Algeria. 253 Before passage, the resolution was amended by Amendments A, B, C, D, E and F, which 254 255 made the resolution more inclusive. Amendment D proposed by Uruguay, along with 256 Amendment F, proposed by South Africa and Brazil were primarily responsible for this, 257 resulting in the addition of operative clause 6, which accounts for communities wherein 258 typical processes of legal litigation are ineffective or inapplicable due to resource 259 constraints. This amendment was passed by consensus. As a result, the resolution (enclosed in chapter III) passed with a vote of 26 in favor, 1 against, and 6 abstentions. 260 261 The votes were as follows: 262 263 In Favor: Austria, Bahamas, Brazil, Cameroon, Colombia, Croatia, Cuba, Czech 264 Republic, Germany, Ghana, Italy, Kenya, Namibia, Nigeria, Norway, Republic of 265 Korea, Sierra Leone, South Africa, Thailand, Tunisia, Uganda, United Kingdom, United States, Uruguay, Indonesia, Mauritius; 266 267 268 Opposed: Iran; 269 270 Abstain: China, Democratic Republic of the Congo, Mexico, Pakistan, Saudi 271 Arabia, Switzerland.

Chapter III:

Resolutions and decisions adopted by the Commission at its 2013 session

The Commission on Crime Prevention and Criminal Justice

- 272 Recalling Article 10 of the Universal Declaration of Human Rights, which stipulates that
- everyone is entitled to full equality in a fair and public hearing by an independent and
- 274 impartial tribunal in the determination of his rights and obligations and of any criminal
- 275 charge against himself,
- 276 Recalling the Lilongwe Declaration on Accessing Legal Aid in the Criminal Justice
- 277 System in Africa,
- 278 Recognizing that many affected by the criminal justice system are economically
- 279 disadvantaged and lack the financial resources to protect their rights,
- 280 Fully aware that judicial systems in various Member States run the risk of political
- 281 corruption and may be susceptible to outside influence and interests,
- 282 Noting the prolonged incarceration of suspects and pretrial detainees without being
- provided access to legal aid or to the courts, and concerned that it violates the basic
- 284 principles of universal human rights,
- 285 Recognizing that providing legal aid to suspects and prisoners may reduce the length of
- 286 time suspects are held at police stations and detention centers, in addition to reducing the
- prison population, prison overcrowding, and congestion in the courts,
- 288 Recognizing the facts highlighted by the United Nations Principles and Guidelines on
- Access to legal aid in criminal justice systems, along with the principles of the Tokyo Rules,
- 291 1. Encourages the establishment of programs allocating government and/or private sector
- 292 funding towards international, online and domestic law scholarships while incentivizing
- 293 that lawyers return to practice in their home countries;
- 294 2. *Recommends* the complimentary provision of legal and law-related services by paralegals and legal assistants, reiterating that in some Member States, paralegals and
- lawyers do not have the same certifications and should act within their means in order to
- better meet the demand for legal consultation, thus diversifying legal aid service
- 298 providers;

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- 3. *Recommends* the provision of legal assistance at all stages of the criminal process including investigation, arrest, pretrial detention, bail hearings, trials, appeals and other proceedings to ensure that human rights are protected, including:
 - (a) Access to legal assistance immediately upon arrest and/or detention;
- 303 (b) The right to see and consult with a lawyer, accredited paralegal, or legal assistant;
 - (c) Special attention to persons detained without charge, or beyond the expiration of their sentences, or who have been held in detention/prison without access to courts;
 - (d) Special attention to groups such as but not limited to children, young people, the elderly, disabled people, people with HIV/AIDS, the mentally/seriously ill, refugees, internally displaced persons and foreign nationals;
- 4. Recommends that national governments take action to broaden the availability of pro
- 311 bono legal aid and consultation through means such as but not limited to the continued

- 312 mandated pro bono quotas by bar associations;
- 313 5. *Urges* the governments of Member States to further strengthen their judicial and legal
- institutions, and to promote independence of the judiciary, in order to reduce the risks of
- 315 judicial corruption and unfair trials;
- 316 6. Calls for the creation and continuation of programs encouraging human rights
- 317 education and legal literacy programs in educational institutions, taking into account
- 318 cultural relevance through consultation of regional bodies, with special attention to the
- 319 groups mentioned above;
- 320 7. Welcomes the use of non-custodial measures in keeping with the Tokyo Rules, such as
- 321 alternative dispute resolution for use in communities where inadequate resources and lack
- of access to the courts render typical legal procedures ineffective.

Passed, Yes: 26 / No: 1 / Abstain: 6

Chapter IV:

Adoption of the Report

- 323 In its meeting in November 2013, the draft report of the Commission on Crime
- 324 Prevention and Criminal Justice on Accessibility to Legal Aid in Criminal Justice
- 325 Systems, particularly in Africa was made available for consideration. The Commission
- 326 considered the report, and with no amendments, adopted the report by consensus. The
- 327 Member States Iran and Saudi Arabia abstained.